4-21-04

Please type a plus sign (+) inside this box →

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

			Application Number	10/659,800		
	TDANOMITTAL		Filing Date	September 10, 2003		
	TRANSMITTAL	-	First Named Inventor	FARESE JR., ROBERT V.		
	FORM		Group Art Unit	1632		
	(to be used for all correspondence after in	itial filing)	Examiner Name	To Be Assigned		
	Total Number of Pages in This Submissi	on	Attorney Docket Number	UCAL-105CIP2CON2		
			ES (check all that apply)			
	Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority  Documents  Response to Missing Parts/ Incomplete Application  Response to Missing Parts  under 37 CFR 1.52 or 1.53	Assign (for an Drawin Licens Petition Provisi Power Chang Addres Termin Reque	nment Papers Application) ng(s) ing-related Papers n n to Convert to a ional Application of Attorney, Revocation ie of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information  Status Letter  Other Enclosure(s) (please identify below): 1) PTO/SB/08A (2 pgs.) 2) EP Search Report 3) 8 Cited References 4) Return Postcard		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Signing Attorney/Agent (Reg. No.)  PAULA A. BORDEN, 42,344 BOZICEVIC, FIELD & FRANCIS LLP Signature						
Date April 19, 2004						

**EXPRESS MAIL LABEL NO. EV405281330US** 

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Express Mail No. EV405281330US

## INFORMATION ISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(e)

Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attorney Docket	UCAL-105CIP2CON2			
First Named Inventor	FARESE JR., ROBERT V.			
Application Number	10/659,800			
Confirmation No.	7565			
Filing Date	September 10, 2003			
Group Art Unit	1632			
Examiner Name				
Title: "DIACYLGLYCEROL O-ACYLTRANSFERASE"				

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815. Order No. UCAL-105CIP2CON2.

> Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Paula A. Borden, Reg. No. 42,344

Apr. 14, 2004

BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200 Menlo Park, CA 94025

Telephone: (650) 327-3400 Facsimile: (650) 327-3231

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Stitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known

Application Number 10/659,800

Filing Date September 10, 2003

First Named Inventor FARESE JR., ROBERT V.

Art Unit 1632

Examiner Name To Be Assigned

Attorney Docket Number UCAL-105CIP2CON2

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
muais	NO.	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
		US-				
		US-				
		US-		<u> </u>		
		US-				
		US-				
		US-				
		US-				
		US-				
	l	US-				
		US-				
		US-				
	ĺ	US-				
		US-				
		US-	1			
		US-				
,		US-	· · · · · · · · · · · · · · · · · · ·			

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
Initials'	No.1	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	Т
						$\vdash$

Examiner	Date
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute	e for form 1449B/PTO			Complete if Known		
				Application Number	10/659,800	
	INFORMATION DIS	SCLOSU	RE	Filing Date	September 10, 2003	
	STATEMENT BY A	APPLICAN	١T	First Named Inventor	FARESE JR., ROBERT V.	
				Group Art Unit	1632	
	(use as many sheets as necessary)			Examiner Name		
Sheet	2	of	2	Attorney Docket Number	UCAL-105CIP2CON2	

Examiner	Cite	OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS	
nitials*	No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),	T
		FARESE, FV JR., "Acyl CoA: cholesterol acyltransferase genes and knockout mice" Current Opinion in Lipidology, Vol. 9, No. 2, April 1998, pgs. 119-123	
		FRENTZEN M. "Acyltransferases from basic science to modified seed oils", Fett- Lipid, Wiley - VCH Verlag, Weinham, DE, Vol. 100, No. 4/5, May 1998, pgs. 161-166	
		WILSON, R. F. et al., "Recent Developments in the molecular biochemistry and genetics of diacyglycerol acyltransferase from soybean" Seed Oils for the Future, AOCS press, Champaign, IL, US, 1992, pgs. 116-135	
		TABATA N. et al., "Xanthohumols diacylglycerol acyltransferase inhibitos, from Humulus Lupulus", Phytochemistry, Pergamon Press, GB, Vol. 46, No. 4, October 1997, pgs. 683-687	
		ZOU et al., "The Arabidopsis thaliana TAG1 mutant has a mutation in a diacylglycerol acyltransferase gene", Plant Journal, Blackwell Scientific Publications, Oxford GB, Vol. 19, No. 6, September 1999, pgs. 645-653	
		SMITH S J et al., "Obesity resistance and multiple mechanisms of triglyceride synthesis in mice lacking Dgat", Nature America, New York, US, Vol. 25, No. 1, May 2000, pgs. 87-90	
		CHEN HC et al., "Increased insulin and leptin sensitivity in mice lacking acyl CoA: diacylyglycerol acyltransferase I "The Journal of Clinical Investigation" Vol. 109, No. 8, April 2002, pgs. 1049-1055	
		BUHMAN KIMBERLY K. et al., DGAT1 is not essential for intestinal traicyglycerol absorption or chlomicron synthesis" The Journal of Biological Chemistry", U.S. July 12, 2002, Vol. 277, No. 28, July 12, 2002, pgs. 25474-25479	
Examiner	1	Date	_

Examiner	Date
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional), 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.